

# B6. THE PRESSURE DERIVATIVES OF ELASTIC CONSTANTS: MICROSCOPIC GRÜNEISEN PARAMETERS

WILLIAM B. DANIELS

Princeton University

**Abstract**—The values of the elastic constants are of fundamental importance in any study of the lattice vibrational excitations in crystals. They determine the dispersion relations in the non-dispersive region of the spectrum and from these, the low temperature limit of the Debye temperature.

In a similar manner, the pressure dependencies of the elastic constants provide non-thermally determined data on the shift of these lattice vibrational energies with lattice compression, the so called microscopic Grüneisen parameters defined by the relation  $\gamma_j \equiv -\frac{d \ln \omega_j}{d \ln V}$  in which  $\omega_j$  is one of the vibrational normal mode frequencies and  $V$  is the crystal volume. In the non dispersive region of the spectrum, simple considerations yield  $\gamma_m = \frac{1}{2} B_T \frac{d \ln C_m}{dP} - \frac{1}{6}$ , in which  $B_T$  is the bulk modulus and  $P$  the pressure. The subscript  $m$  refers to a particular mode type, and  $C_m$  is the elastic constant associated with that mode of propagation.

The quasi-harmonic oscillator model gives the result that the experimental Grüneisen constant defined by  $\gamma_G \equiv \frac{\alpha B_T V}{C_v}$ , where  $\alpha$  is the volume coefficient of thermal expansion and the other terms have their usual meanings, is given by  $\gamma_G = \frac{\sum_j \gamma_j C_{vj}}{\sum_j C_{vj}}$ .  $\gamma_j$  is the value of  $-\frac{d \ln \omega}{d \ln V}$  of the  $j$ 'th mode, and  $C_{vj}$  is the Einstein heat capacity of that mode at the temperature of observation.

At the present time, the pressure dependencies of the elastic constants provide the *only direct measurements* of the  $\gamma_j$ . Somewhat surprisingly their values, used with an elastic continuum approximation account quite well for  $\gamma_G$  and its temperature dependence in many cases. The results of this approximation are discussed, and evidence is presented concerning the magnitude of the *temperature dependence* of the mode gammas, and the effects of a strong temperature dependence on the conclusions of the Quasi-Harmonic Model.

## INTRODUCTION

THE microscopic theory of the temperature dependent equation of state of solids, introduces anharmonicity parameters of the form  $\gamma_j = -\frac{d \ln \omega_j}{d \ln V}$  where  $\omega_j$  is a lattice vibrational mode frequency and  $V$  is the crystal volume.<sup>(1,2)</sup> These "mode gammas" bear the following re-

lation to Grüneisen's gamma:

$$\gamma_G(T) = \frac{\sum_{j=1}^{3N} \gamma_j C_{vj}}{\sum_{j=1}^{3N} C_{vj}},$$

in which  $C_{vj}$  is the Einstein heat capacity of the  $j$ 'th mode at the temperature of observation. The

Grüneisen parameter is defined by:  $\gamma_G = \frac{\alpha B_T V}{C_v}$

in which  $\alpha$  is the volume coefficient of thermal expansion,  $B_T$  is the bulk modulus,  $C_v/V$  the heat capacity per unit volume of the crystal. In this quasi-harmonic oscillator model, the temperature dependence of Grüneisen's gamma arises in existence of different values of  $\gamma_j$  for different modes, coupled with changes in their relative weights by the temperature dependent mode heat capacities. In the case that all gammas were equal, one would expect on this model that Grüneisen's gamma would be rigorously temperature independent. In the most general spectral case, one would expect constancy of  $\gamma_G$  in the limit of classically high temperatures at which  $C_{vj} = k$  for all modes, and the expression for  $\gamma_G$  reduces to the simple average of the  $\gamma_j$ :

$$\gamma_G = \frac{\sum_{j=1}^{3N} \gamma_j}{3N} = \bar{\gamma}_j$$

and in the low temperature limit where only continuum lattice vibrational states are excited, and there is no change in the *relative* weighting factors of various mode gammas. In this true  $T^3$  region  $\gamma_G$  reduces to  $\gamma_G = -\frac{d \ln \Theta_0}{d \ln V}$  where  $\Theta_0$  is the low temperature limit of the Debye temperature.

Validity of the original assumption of constancy of Grüneisen's gamma seemed well verified experimentally until recent years when improved techniques of thermal expansion measurement revealed large changes in  $\gamma_G$  which appear at low temperatures.

#### SLATER'S GAMMA

Historically, there has been a distinct lack of availability of values of individual mode gammas, necessitating various approximations. The Slater gamma represents an attempt to obtain directly, i.e. from the definition  $\gamma_j = -\frac{d \ln \omega_j}{d \ln V}$ , a non-thermally determined gamma with which

Table 1. High temperature values of Grüneisen's Gamma and Slater's Gamma

Material	Slater's Gamma	Grüneisen's Gamma
Si	2.5	0.44
Ge	2.1	0.72
Cu	2.6	2.0
Ag	2.8	2.4
Au	2.9	3.0
Na	1.5	1.14
Al	2.3	2.34
NaCl	2.7	1.55
KCl	2.2	1.47
RbI	2.6	1.50

one could compare the value of the Grüneisen constant. The only experimental data available at that time was the extensive set of measurements of volume vs. pressure by Bridgman from which one could obtain in the bulk modulus and its pressure derivative.

Referring to Fig. 1, we see that the frequency of any particular normal mode  $j$  in the non-dispersive region of the spectrum is given by  $\omega_j = k_j v$  where  $k_j$  is the mode wave vector and  $v$  the slope of the linear part of the dispersion curve equal to the velocity of a sound wave of the same mode type. The assumption was made that the solid could be treated as an isotropic elastic medium whence it was possible to obtain expressions for the velocity of longitudinal and transverse waves in terms of the bulk modulus, the density, and Poisson's ratio as follows:<sup>(1)</sup>

$$v_L = \sqrt{\frac{3(1 - \sigma)B_s}{\rho(1 + \sigma)}}$$

$$v_T = \sqrt{\frac{3(1 - 2\sigma)B_s}{2\rho(1 + \sigma)}}$$

where  $\sigma$  is Poisson's ratio, and  $\rho$  the density of the material. If Poisson's ratio is assumed independent of volume, the result appears:

$$\gamma_L = \gamma_T = -\frac{1}{2} \frac{d \ln B_s}{d \ln V} - \frac{1}{6}$$